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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

MARC SPITZER, Chairman
WILLIAM A. MUNDELL
JEFF HATCH-MILLER
MIKE GLEASON
KRISTIN K. MAYES

AZ CORP COMMISSION
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2004 JUN 22 P 1:49

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VICTOR MONROE STOCKBRIDGE
[CRD # 1233627], and
G. IRENE STOCKBRIDGE
[Husband and Wife]

61 Rufous Lane
Sedona, Arizona 86336-7117

Respondents.

DOCKET NO. S-03465A-02-0000

**THE DIVISION'S MOTION AND
MEMORANDUM TO SET INITIAL PRE-
HEARING CONFERENCE**

Arizona Corporation Commission

DOCKETED

JUN 22 2004

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**THE DIVISION'S MOTION AND MEMORANDUM TO SET INITIAL PRE-HEARING
CONFERENCE**

The Division asks the Commission to set an initial pre-hearing conference, with an
Administrative Law Judge present.

I. INTRODUCTION AND BACKGROUND

A Notice of Opportunity for Hearing was filed by the Division on this matter in December
of 2002. In April of 2003, a Stipulated Motion to Continue was entered into between the Division
and Respondents. In the interim, to resolve discovery disputes, the Division and Respondents
entered a Stipulated Protective Order regarding certain confidential medical records the Division
obtained in its investigation; the Division reassigned trial counsel to this matter due to the
permanent departure of prior Division counsel; and corollary NASD arbitration and civil
complaints have been separately filed by private counsel on behalf of the injured parties. On

1 Friday June 18, 2003 Respondents' counsel confirmed to the Division, Respondents' unwillingness
2 to settlement of this matter within parameters the Division previously offered to Respondents'
3 counsel.

4 **II. PRAYER**

5 In light of these facts, the Division must proceed with its administrative actions against
6 Respondents in this forum at this time. The Division requests a lifting of the prior stipulated
7 continuance by the setting of an initial pre-hearing conference. The scheduling of pre-hearing
8 conferences will serve the interests of justice by expediting the disposition of the proceeding,
9 establishing early and continuing control of the proceeding by the Hearing Officer, and improving
10 the quality of the hearing through more thorough preparation.
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12 Respectfully submitted this 22nd day of June 2004.

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16 John R. Proper, Staff Attorney
17 Arizona Corporation Commission – Securities Division
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1 ORIGINAL of the foregoing and 13 copies
2 filed with Arizona Corporation Commission
Docket Control this 22nd day of June 2004.

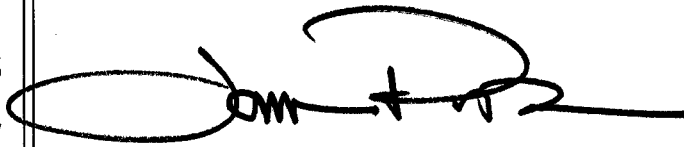
3 COPY of the foregoing transmitted by
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